OHIO PUBLIC WORKS FOR YOU

State of Ohio

Public Works Commission

Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant

Applicant: Henry County — Subdivision Code: 069-00069

District Number: 5 — County: Henry — Date: 08/18/2017

Contact: Timothy J Schumm, PE, PS — Phone: (419) 592-2976
(The individual who will be available during business hours and who can best answer or coordinate the response to questions)

Email: tschumm@henrycountyengineer.com — FAX: (419) 592-5508

Project

Project Name: Roads Z, P1 — Zip Code: 43545

Subdivision Type
(Select one)

- [x] 1. County
- [ ] 2. City
- [ ] 3. Township
- [ ] 4. Village
- [ ] 5. Water (6119 Water District)

Project Type
(Select single largest component by $)

- [x] 1. Road
- [ ] 2. Bridge/Culvert
- [ ] 3. Water Supply
- [ ] 4. Wastewater
- [ ] 5. Solid Waste
- [ ] 6. Stormwater

Funding Request Summary
(Automatically populates from page 2)

Total Project Cost:	550,000 .00
1. Grant:	275,000 .00
2. Loan:	0 .00
3. Loan Assistance/ Credit Enhancement:	0 .00
Funding Requested:	275,000 .00

District Recommendation (To be completed by the District Committee)

Funding Type Requested
(Select one)

- [ ] State Capital Improvement Program
- [ ] Local Transportation Improvement Program
- [ ] Revolving Loan Program
- [ ] Small Government Program

District SG Priority: _____

SCIP Loan - Rate: ____ % Term: ____ Yrs — Amount: _____ .00

RLP Loan - Rate: ____ % Term: ____ Yrs — Amount: _____ .00

Grant: — Amount: _____ .00

LTIP: — Amount: _____ .00

Loan Assistance / Credit Enhancement: — Amount: _____ .00

For OPWC Use Only

STATUS

Project Number: _____

Release Date: _____

OPWC Approval: _____

Grant Amount: _____ .00

Loan Amount: _____ .00

Total Funding: _____ .00

Local Participation: _____ %

OPWC Participation: _____ %

Loan Type: ☐ SCIP ☐ RLP

Date Construction End: _____

Date Maturity: _____

Rate: _____ %

Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services			
Preliminary Design: _____ .00			
Final Design: _____ .00			
Construction Administration: _____ .00			
Total Engineering Services:	a.)	0 .00	0 %
Right of Way:	b.)	_____ .00	
Construction:	c.)	550,000 .00	
Materials Purchased Directly:	d.)	_____ .00	
Permits, Advertising, Legal:	e.)	_____ .00	
Construction Contingencies:	f.)	_____ .00	0 %
Total Estimated Costs:	g.)	550,000 .00	

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account:	a.)	_____ .00	
Local Revenues:	b.)	275,000 .00	
Other Public Revenues:	c.)	_____ .00	
ODOT / FHWA PID: _____	d.)	_____ .00	
USDA Rural Development:	e.)	_____ .00	
OEPA / OWDA:	f.)	_____ .00	
CDBG: ☐ County Entitlement or Community Dev. "Formula" ☐ Department of Development	g.)	_____ .00	
Other: _____	h.)	_____ .00	
Subtotal Local Resources:	i.)	275,000 .00	50 %

OPWC Funds (Check all requested and enter Amount)

Grant: 100 % of OPWC Funds	j.)	275,000 .00	
Loan: 0 % of OPWC Funds	k.)	_____ .00	
Loan Assistance / Credit Enhancement:	l.)	0 .00	
Subtotal OPWC Funds:	m.)	275,000 .00	50 %
Total Financial Resources:	n.)	550,000 .00	100 %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project Repair / Replacement:	550,000 .00	100 %	A Farmland Preservation letter is required for any impact to farmland
2.2 Total Portion of Project New / Expansion:	0 .00	0 %	
2.3 Total Project:	550,000 .00	100 %	

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date: 07/01/2017	End Date: 05/01/2018
3.2 Bid Advertisement and Award	Begin Date: 06/01/2018	End Date: 07/15/2018
3.3 Construction	Begin Date: 07/15/2018	End Date: 10/31/2018

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: 15 Years Age: 1996 (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT 518 Year 2017 Projected ADT 620 Year 2037

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current $_____ Proposed $_____

Number of households served: _____

Residential Wastewater Rate Current $_____ Proposed $_____

Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 500 character limit.

Road Z from Road L to Road 15
Road P1 from Road 16 to Road 17

B: PROJECT COMPONENTS (Describe the specific work to be completed; the engineer's estimate does not replace this requirement) 1,000 character limit.

Road Z is to be relocated away from the eroding Maumee River bank and be resurfaced with 1.5" of 441 Surface Course
Road P1 is to be widened from 16.25' to 18.25' and resurfaced with 1.5" of 441 Surface Course

C: PHYSICAL DIMENSIONS (Describe the physical dimensions of the existing facility and the proposed facility. Include length, width, quantity and sizes, mgd capacity, etc in detail.) 500 character limit.

Road Z is 16' wide and will be widened to 18' at the portion moving away from Maumee River and is 3,350' long
Road P1 is 16.25' and will be widened to 18.25' wide and is 5,287' long

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

Name: Glenn Miller

Title: President, Henry County Commissioners

Address: 1853 Oakwood Avenue

City: Napoleon State: Oh Zip: 43545

Phone: (419) 592-4876

FAX: (419) 592-4016

E-Mail: commissioners@henrycountyohio.com

5.2 Chief Financial Officer

(Can not also serve as CEO)

Name: Kevin Garringer

Title: County Auditor

Address: 660 North Perry Street

City: Napoleon State: OH Zip: 43545

Phone: (419) 592-1956

FAX: (419) 592-4024

E-Mail: auditor@henrycountyohio.com

5.3 Project Manager

Name: Timothy J Schumm, PE,PS

Title: County Engineer

Address: 1823 Oakwood Avenue

City: Napoleon State: OH Zip: 43545

Phone: (419) 592-2976

FAX: (419) 592-5508

E-Mail: tschumm@henrycountyengineer.com

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

☑ A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.

☑ A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.

☑ A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.

☑ A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.

☐ Farmland Preservation Review - The Governor's Executive Order 98-IIV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.

☑ Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.

☑ Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Glenn Miller, Commissioner

Certifying Representative (Printed form, Type or Print Name and Title)

8-24-17

Original Signature / Date Signed

RESOLUTION

IN THE MATTER OF SUBMITTING AN APPLICATION TO THE OHIO PUBLIC WORKS COMMISSION FOR SCIP AND/OR LTIP FUNDING
ROUND 32 **AUTHORIZATION**

On this 10th day of August, 2017, Mr. Von Deylen moved the adoption of the following **RESOLUTION:**

WHEREAS, Timothy J. Schumm, Henry County Engineer, has requested approval to submit an application to the Ohio Public Works Commission for the following project and request authorization for Glenn A. Miller, President of the Board of Henry County Commissioners, to sign all documents:

Round 32:
-Road L (SR 109-Road 6)
-Road Z (14-15)
-Road M (2-3)

now therefore be it

RESOLVED, That the Board of Henry County Commissioners does hereby authorize the Henry County Engineer to submit an application for the above projects to the Ohio Public Works Commission and authorize Glenn A. Miller, President, to sign the application and related documents.

AND BE IT FURTHER RESOLVED, That it is found and determined that all formal actions of this Board concerning and relating to the adoption of the Resolution were so adopted in an open meeting of this Board and that any deliberations of this Board and any of its committees that resulted in such formal actions were in a meeting open to the public in compliance with all legal requirements including Sections 121.22 of the Ohio Revised Code.

Mr. Miller seconded the resolution and the roll being called upon for its adoption, the vote resulted as follows:

YEA
Glenn A. Miller

YEA
Thomas H. Von Deylen

Robert E. Hastedt (Absent)
Robert E. Hastedt

Attest:

Cathy Behnfeldt, Acting Clerk

CERTIFICATE

I, Cathy Behnfeldt, Acting Clerk of the Board of Henry County Commissioners of Henry County, Ohio, do hereby certify the above is a true and exact copy of a Resolution adopted by the Board of Commissioners of Henry County, Ohio, and recorded in Journal 238, Page 11-E adopted on August 10, 2017.

Cathy Behnfeldt, Acting Clerk 238-11-E

HENRY COUNTY AUDITOR
Kevin Garringer

660 North Perry Street, P.O. Box 546 Napoleon, Ohio 43545
Phone: 419-592-1956 Fax: 419-592-4024
www.co.henry.oh.us

August 16, 2017

Michael Miller, Director
The Ohio Public Works Commission
65 East State Street
Columbus, OH 43215

I, the Auditor of Henry County, do hereby certify that Henry County has the amount of $209,250.00 in the GTMV Fund and that this amount will be used to pay the local share of the Henry County Road Z Project.

Kevin Garringer
Henry County Auditor

COOPERATION AGREEMENT

Henry County Engineer Resolution Number: 238.11.6 Date: 8.10.17

Napoleon Township Resolution Number:_____ Date:_____

Henry County and Napoleon Township enter into a cooperative agreement to submit an application to the Ohio Public Works Commission for the Roads Z and P1.

Henry County will collect and provide funds totaling $209,250.00 of the cost of the above referenced project. Such funds will come from the Gas Tax fund.

Napoleon Township will collect and provide funds totaling $65,750.00 of the cost of the above referenced project. Such funds will come from the Gas Tax fund.

Napoleon Township authorizes the Henry County to be the lead applicant and to sign all necessary documents.

Henry County and Napoleon Township agree to pay their respected costs of the completed project as determined by the Henry County Engineer which will vary from the estimated quantities and/or costs found in the Engineers estimates and above. All parties agree to pay invoices that are due/at the end of the project/as otherwise agreed upon.

Signature Page for COOP Agreement

Henry County

Napoleon Township Trustee

AUTHORIZING LEGISLATION

A RESOLUTION AUTHORIZING Kevin Gerken (Chairman) TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND / OR LOAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, Napoleon Township is planning to make capital improvements to Road P1 and,

WHEREAS, the infrastructure improvement herein above described is considered to be a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by Napoleon Township:

Section 1: Kevin Gerken (Chairman) is hereby authorized to apply to the OPWC for funds as described above.

Section 2: Kevin Gerken (Chairman) is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: 2-0, 2017

X Kevin L Gerken
Chairman

Fiscal Officer

Kevin E Sonnenberg
Trustee

Trustee

CHIEF FINANCIAL OFFICER'S CERTIFICATION OF LOCAL FUNDS / LOAN REPAYMENT LETTER

Sept. 19, 2017

I, Susan Witt of Napoleon Township, hereby certify that the Township has the amount of $65,750 in the GasTax (account/ fund) and that this amount will be used to pay the local share for the Road Z + P1 (project name) when it is required.

Susan Witt

Susan Witt- Napoleon Township Fiscal Officer

COOPERATION AGREEMENT

Henry County Engineer Resolution Number: _____ Date: _____

Napoleon Township Resolution Number: 9-02 Date: Sept 19 2017

Henry County and Napoleon Township enter into a cooperative agreement to submit an application to the Ohio Public Works Commission for the Roads Z and P1.

Henry County will collect and provide funds totaling $209,250.00 of the cost of the above referenced project. Such funds will come from the Gas Tax fund.

Napoleon Township will collect and provide funds totaling $65,750.00 of the cost of the above referenced project. Such funds will come from the Gas Tax fund.

Napoleon Township authorizes the Henry County to be the lead applicant and to sign all necessary documents.

Henry County and Napoleon Township agree to pay their respected costs of the completed project as determined by the Henry County Engineer which will vary from the estimated quantities and/or costs found in the Engineers estimates and above. All parties agree to pay invoices that are due/at the end of the project/as otherwise agreed upon.

Signature Page for COOP Agreement

_____
Henry County

X _____
Napoleon Township Trustee

ENGINEER'S CERTIFICATION
FOR
INFRASTRUCTURE PROJECT APPLICATION

To: Michael Miller
Ohio Public Works Commission
Columbus, OH

Please accept this certification that I, Timothy J. Schumm, PE, PS, have examined the project application listed in the attached document, and in my opinion, the estimated total cost of $550,000.00 is reasonable and appropriate. I further certify the project to have a minimum life of 15 years.

(1) Title of Project: Roads Z, P1
(2) Political Subdivision Submitting this Project: Henry County
(3) Type of Project: Road Widening and Overlay
(4) Amount of Funds Requested: $275,000
(5) Local number assigned to this project: N/A

Respectfully Submitted,

Timothy J. Schumm, P.E., P.S.
Henry County Engineer

Road Z and Road P1 Project

The undersigned, Timothy J. Schumm, PE, PS, Henry County Engineer does hereby certify the attached project estimate to be reasonable and appropriate based on previously bid unit prices.

Timothy J. Schumm, P.E., P.S.
Henry County Engineer
Ohio P.E. #62821

Henry County Road Z (15-L)

REF. NO	ITEM NO.	DESCRIPTION	QUANTITY	UNIT	UNIT COST	TOTAL COST
		ROADWAY				
1	202	PAVEMENT REMOVED (3" ± THICK ASPHALT FOR EXIST. ROADWAY)	7650	S.Y.	3.00	22,950.00
		(TO BE DISPOSED OF OFF SITE)				
2	202	BASE REMOVED (5" ± THICK STONE FOR EXIST. ROADWAY)	7650	S.Y.	4.00	30,600.00
		(TO BE DISPOSED OF OFF SITE)				
3	203	EXCAVATION (DOES NOT INCLUDE ANY ASPHALT OR BASE REMOVAL	1000	C.Y.	29.00	29,000.00
		AS THEY ARE SEPARATE ITEMS)				
4	203	EMBANKMENT (INCLUDES FILLING EXIST. ROADWAY PIT FROM PAVEMENT	1500	C.Y.	30.00	45,000.00
		AND BASE REMOVAL)				
		TOTAL ROADWAY				127,550.00
		PAVEMENT				
5	204	SUBGRADE COMPACTION (INCLUDES ROADWAY & DRIVEWAY)	6120	S.Y.	1.50	9,180.00
6	301	ASPHALT CONCRETE BASE	680	C.Y.	150.00	102,000.00
7	304	AGGREGATE BASE (INCLUDES ROADWAY & DRIVEWAY)	1360	C.Y.	45.00	61,200.00
8	407	TACK COAT (APPLIED @ THE RATE OF 0.05 GAL./S.Y.)	612	GAL.	2.60	1,591.20
9	448	ASPHALT CONCRETE SURFACE COURSE, PG 64-22, TYPE 1M (INCLUDES OVERLAY,	255	C.Y.	160.00	40,800.00
		ROADWAY & DRIVEWAY) (INCLUDES TEMPORARY PAVEMENT MARKINGS)				
10	448	ASPHALT CONCRETE INTERMEDIATE COURSE, PG 64-22, TYPE 1M (ROADWAY)	340	C.Y.	160.00	54,400.00
11	SPECIAL	BUTT JOINTS (40 L.F.)	2	EACH	1,000.00	2,000.00
		TOTAL PAVEMENT				271,171.20
		MISCELLANEOUS				
12	614	MAINTAINING TRAFFIC	1	LUMP SUM	5,000.00	5,000.00
13	623	CONSTRUCTION LAYOUT STAKES	1	LUMP SUM	6,000.00	6,000.00
14	624	MOBILIZATION	1	LUMP SUM	6,000.00	6,000.00
15	103.05	CONTRACT PERFORMANCE & PAYMENT BOND	1	LUMP SUM	2,778.80	2,778.80
		TOTAL MISCELLANEOUS				19,778.80
		TOTAL OF ESTIMATE				418,500.00

(Napoleon Township) Road P1 from Road 16 to Road 17 **Length: 5287 Feet or 1 Mile(s)** **Width: 18.25 Feet (Approx. 10810 S.Y. including radius and driveway work)** **Type: ODOT Spec 441, with Spec 301 Widening W/ 617 Berm @ 1.5 Feet Wide Each**						
N1	202	9	EA	Remove & Relocate Mailbox/Paperbox	$ 250.00	$ 2,250.00
N2	203	163	C.Y.	Excavation (2' Widening North Side, Depth of 5", 100% of material layed over as additional embankment and Mailbox Turnout)	$ 43.00	$ 7,009.00
N3	204	1,175	S.Y.	Subgrade Compaction	$ 1.00	$ 1,175.00
N4	254	485	S.Y.	Pavement Planing (Approx. 2" Deep). Price includes removal of cuttings	$ 2.00	$ 970.00
N5	301	163	C.Y.	Bituminous Aggregate Base, (5" average compacted depth, includes 407 tack coat on vertical face)	$ 150.00	$ 24,450.00
N6	407	565	Gal	Bituminous Tack Coat applied at 0.05 gallons per square yard	$ 2.60	$ 1,469.00
N7	441	27	C.Y.	Asphalt concrete intermediate course PG 64-22, Type 1 (448) applied in area of pavement planing, spread and compacted at the average depth of 2 inches	$ 160.00	$ 4,320.00
N8	441	450	C.Y.	Asphalt concrete surface course PG 64-22, Type 1 (448) applied, spread and compacted at the average depth of 1.5"	$ 160.00	$ 72,000.00
N9	617	147	C.Y.	Stabilized crushed aggregate berm in place and compacted at the average depth of 3 inches	$ 60.00	$ 8,820.00
N10	Spec.	2	EA	Formed Construction Joint (115 L.F.)	$ 1,000.00	$ 2,000.00
N11	614	1	L.S.	Maintaining Traffic	$ 2,000.00	$ 2,000.00
N12	624	1	L.S.	Mobilization	$ 4,000.00	$ 4,000.00
N12	103.1	1	L.S.	Contract Performance & Payment Bond	$ 1,037.00	$ 1,037.00
				Napoleon Township Road P1 Total		**$ 131,500.00**

Revised: April 25, 2017

DISTRICT 5
CAPITAL IMPROVEMENT PROJECTS
QUESTIONNAIRE
ROUND 32

Name of Applicant: Henry County
Project Title: Roads Z, P1

The following questions are to be answered for each application submitted for State Issue II SCIP, LTIP and Loan Projects. Please provide specific information using the best documentation available to you. Justification of your responses to these questions will be required if your project is selected for funding, so please provide correct and accurate responses. **Communities and Townships under 5,000 in population should also complete the Small Government Criteria.**

1. What percentage of the project in repair A= 100 %, replacement B= __%, expansion C= __%, and new D= _____%? (Use dollar amounts of project to figure percentages and make sure the total equals one hundred(100) percent) A+B= 100 % C+D= ___%

Repair/Replacement = Repair or Replacement of public facilities owned by the government (any subdivision of the state).

New/Expansion = Replacement of privately owned wells, septic systems, private water or wastewater systems, etc.

2. Give the physical condition rating:

Closed or Not Operating: The condition is unusable, dangerous and unsafe. The primary components have failed. The infrastructure is not functioning at all.

Critical: The condition is causing or contributing to a serious non-compliance situation and is threatening the intended design level of service. The infrastructure is functioning at seriously diminished capacity. Imminent failure is anticipated within 18 months. Repair and/or replacement is required to eliminate the critical condition and meet current design standards. **(For Road Projects structural repair items would represent a minimum of 25% of the total Project Cost).**

Poor: The condition is substandard and requires repair/replacement in order to return to the intended level of service and comply with current design standards. Infrastructure contains a major deficiency and is functioning at a diminished capacity.

Fair: The condition is average, not good or poor. The infrastructure is still functioning as originally intended. Minor deficiencies exist requiring repair to continue to function as originally intended and/or to meet current design standards.

Good:	The condition is safe and suitable to purpose. Infrastructure is functioning as originally intended, but requires minor repairs and/or upgrades to meet current design standards.
Excellent:	The condition is new, or requires no repair. Or, no supporting documentation has been submitted.

In order to receive points provide supporting documentation (e.g. photos, a narrative, maintenance history, or third party findings) to justifying the rating.

3. If the proposed project is not approved what category would best represent the impact on the general health and/or public safety?

ROADS

Extremely Critical:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Major Access Road.*
Critical:	Resurfacing, Restoration and Rehabilitation (3R) of a Major Access Road.*
Major:	Resurfacing, Restoration, Rehabilitation and Reconstruction (4R) of a Minor Access Road.*
Moderate:	Resurfacing, Restoration and Rehabilitation (3R) of a Minor Access Road.*
Minimal:	Preventative Maintenance of a Major Access Road.
No Impact:	Preventative Maintenance of a Minor Access Road.

Projects that have a variety of work will be scored in the LOWEST category of work contained in the Construction Estimate.

Road/Street Classifications:

Major Access Road:	*Roads or streets that have a dual function of providing access to adjacent properties and providing through or connecting service between other roads.*
Minor Access Road:	*Roads or streets that primarily provide access to adjacent properties without through continuity, such as cul-de-sacs or loop roads or streets.*
Preventative Maintenance:	*Non Structural Pavement work such as chip sealing, cape sealing, microsurfacing, crack sealing, etc.*

*(3R) Resurfacing, Restoration and Rehabilitation - Improvements to existing roadways, which have as their main purpose, the restoration of the physical features (pavement, curb, guardrail, etc.) without altering the original design elements.

*(4R) Resurfacing, Restoration, Rehabilitation and Reconstruction - Much like 3R, except that 4R allows for the complete reconstruction of the roadway and alteration of certain design elements (i.e., lane widths, shoulder

width, SSD, etc.).

BRIDGES SUFFICIENCY RATING

Extremely Critical:	0-25, or a General Appraisal rating of 3 or less.
Critical:	27-50, or a General Appraisal rating of 4.
Major:	51-65 or a General Appraisal rating of 5 or 6.
Moderate:	66-80 or a General Appraisal rating of 7.
Minimal:	81-100 or a General Appraisal rating of more than 7.
No Impact:	Bridge on a new roadway.

WASTEWATER TREATMENT PLANTS

Extremely Critical:	Environmental Protection Agency (EPA) orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve effluent quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER TREATMENT PLANT

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Improvements to meet Environmental Protection Agency (EPA) Safe Drinking Water Regulations and/or NPDES Orders.
Major:	Replace deficient appurtenances. Update existing processes due to EPA recommendations.
Moderate:	Increase capacity to meet current needs or update processes to improve water quality.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

COMBINED SEWER SEPARATIONS (May be construction of either new storm or sanitary sewer as long as the result is two separate sewer systems.)

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Separate, due to chronic backup or flooding in basements.
Major:	Separate, due to documented water quality impairment, or due to EPA recommendations.
Moderate:	Separate, due to specific development proposal within or upstream of the combined system area.
Minimal:	Separate, to conform to current design standards.
No Impact:	No positive health effect.

STORM SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Chronic flooding (structure damage).
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or project needs.

CULVERTS

Extremely Critical:	Structurally deficient or functionally obsolete. Deterioration has already caused a safety Critical: hazard to the public.
Critical:	Inadequate capacity with land damage and the existing or high probability of property damage.
Major:	Inadequate capacity (land damage).
Moderate:	Inadequate capacity with no associated damage.
Minimal:	New/Expansion to meet current needs.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY SEWERS

Extremely Critical:	EPA orders in the form of a consent decree, findings and orders or court order. Health Department Construction Ban.
Critical:	Replace, due to chronic pipe failure, chronic backup or flooding in basements. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	Replace, due to inadequate capacity or infiltration, or due to EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs or to reduce inflow and infiltration.
Minimal:	New/Expansion project to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

SANITARY LIFT STATIONS AND FORCE MAINS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety/health hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with actual or a high probability of property damage. Improvements ordered by the Environmental Protection Agency (EPA) in the form of NPDES Orders.
Major:	EPA recommendations, or, reduces a probable health and/or safety problem.
Moderate:	Rehabilitate to increase capacity to meet current needs.
Minimal:	New/Expansion to meet a specific development proposal.
No Impact:	New/Expansion to meet future or projected needs.

WATER PUMP STATIONS

Extremely Critical:	Structurally deficient. Deterioration has already caused a safety hazard to the public, or, EPA orders in the form of a consent decree, findings and orders or court order.
Critical:	Inadequate capacity with the inability to maintain pressure required for fire flows.
Major:	Replace due to inadequate capacity or EPA recommendations.
Moderate:	Rehabilitate to increase capacity to meet current needs.

Minimal: New/Expansion to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

WATER LINES/WATER TOWERS

Extremely Critical: Solve low water pressure or excessive incidents of main breaks in project area.

Critical: Replace, due to deficiency such as excessive corrosion, etc.

Major: Replace undersized water lines as upgrading process.

Moderate: Increase capacity to meet current needs.

Minimal: New/Expansion project to meet a specific development proposal.

No Impact: New/Expansion to meet future or projected needs.

OTHER

Extremely Critical: There is a present health and/or safety threat.

Critical: The project will provide immediate health and/or safety benefit.

Major: The project will reduce a probable health and/or safety problem.

Moderate: The project will delay a health and/or safety problem.

Minimal: A possible future health and/or safety problem mitigation.

No Impact: No health and/or safety effect.

NOTE: *Combined projects that can be rated in more than one subset may be rated in the other category at the discretion of the District 5 Executive Committee. In general, the majority of the cost or scope of the project shall determine the category under which the project will be scored.*

(Submittals without supporting documentation will receive 0 Points for this question.)

Extremely Critical _X_, Critical ___, Major ___, Moderate ___, Minimal ___, No Impact ___. Explain your answer.

_4R improvements on Major Access Roads

(Additional narrative, charts and/or pictures should be attached to questionnaire)

4. Identify the amount of local funds that will be used on the project as a percentage of the total project cost.

A.) Amount of Local Funds = $ 275,000

B.) Total Project Cost = $ 550,000

RATIO OF LOCAL FUNDS DIVIDED by TOTAL PROJECT COSTS (A□B)= 50 %

Note: Local funds should be considered funds derived from the applicant budget or loans funds to be paid back through local budget, assessments, rates or tax revenues collected by the applicant.

5. Identify the amount of other funding sources to be used on the project, excluding State Issue II or LTIP Funds, as a percentage of the total project cost.

Grants 0 % Gifts 0 %, Contributions 0 %

Other 0 % (explain)_____ , Total 0 %

Note: Grant funds and other revenues not contributed or collected through taxes by the applicant should be considered other funds. The Scope of Work for each Funding Source must be the same.

6. Total Amount of SCIP and Loan Funding Requested- An Applicant can request a grant per the categories below for points as indicated on the Priority Rating Sheet. If the Applicant is including a loan request equal to, but not exceeding 50% of the OPWC funding amounts listed below, there will be no point penalty. If loan funds requested are more than 50%, points as listed in the Priority Rating Sheet will apply.

_____	$500,001 or More
_____	$400,001-$500,000
_____	$325,001-$400,000
_____	$275,001-$325,000
X	$175,001-$275,000
_____	$175,000 or Less

There are times when the District spends all of the grant money and has loan money remaining. When this happens, the district makes a loan offer in the amount of the requested grant to the communities that were not funded. The offers are made in the order of scoring. We need to know if you are not successful in obtaining grant dollars for your project if you would be interested in loan money:

YES _____ NO X

(This will only be considered if you are not funded with grant money and there is remaining loan money.) **Please note: if you answer "no" you will not be contacted, only if you answer "yes" will an offer be made in the event that there is loan money remaining.**

7. If the proposed project is funded, will its completion directly result in the creation of permanent full-time equivalent (FTE) jobs (FTE jobs shall be defined as 35 hours/week) ? Yes ___ No X. If yes, how many jobs within eighteen months? __ Will the completed project retain jobs that would otherwise be

permanently lost? Yes ___ No ____. If yes, how many jobs ____ **will be created/retrained** within 18 months **following the completion of the improvements**?

(Supporting documentation in the form of letter from affected industrial or commercial enterprises that specify full time equivlent jobs that will be retained or created directly by the installation or improvement of Public infrastructure. Additional items such as; 1) newspaper articles or other media news accounts, 2) public meeting minutes, and/or 3) a letter from the County Economic Development Director or State of Ohio Economic Development Professional that alludes to the requirement for the infrastructure improvement to support the business. Submittals without supporting documentation will receive 0 points for this question.)

8. What is the total number of existing users that will directly benefit from the proposed project if completed? __518____ (Use households served, traffic counts, etc. and explain the basis by which you arrived at your number.) ___Traffic Counts

9. Is subdivision's population less than 5,000 Yes ____ No _X__

If yes, continue. You may want to design your project per Small Government Project Evaluation Criteria, released for the current OPWC Round to assist in evaluating your project for potential Small Government Funding. The Small Government Criteria is available on the OPWC website at http://www.pwc.state.oh.us/Meth.SG.PDF If No, skip to Question 11.

10. **OHIO PUBLIC WORKS COMMISSION SMALL GOVERNMENT PROGRAM GUIDELINES**

All projects that are sponsored by a subdivision with a population of 5,000 or less, and not earning enough points for District Funding from SCIP or LTIP Funds, are then rated using the Small Government Program Rating Criteria for the corresponding funding round. In order to be rated the entity must submit the Small Government Suppliment and their required budgets with their application. **Only infrastructure that is village- or township- owned is eligible for assistance.** The following policies have been adopted by the Small Government Commission:

- District Integrating Committees may submit up to seven (7) applications for consideration by the Commission. All 7 must be ranked, however, only the top five (5) will be scored. The remaining two (2) will be held as contingency projects should an application be withdrawn.

- Grants are limited to $500,000. Any assistance above that amount must be in the form of a loan.

- Grants for new or expanded infrastructure cannot exceed 50% ofthe project estimate.

- The Commission may deny funding for water and sewer systems that are deemed to be more

cost-effective if regionalized.

•If a water or sewer project is determined to be affordable, the project will be offered a loan rather than a grant. Pay special attention to the **Water & Wastewater Affordability Supplemental and the Small Government Water & Wastewater Affordability Calculation Worksheet. Both are available on the Small Government Program Tab at http://www.pwc.state.oh.us/SmallGovernment.html**

•Should there be more projects that meet the "annual score" than there is funding, the tie breaker is those projects which scored highest under Health & Safety, with the second tie breaker being Condition. If multiple projects have equivalent Health & Safety and Condition scores they are arranged according to the amount of assistance from low to high. Once the funded projects are announced, "contingency protects" may be funded from project under-runs by continuing down the approved project list.

• Supplemental assistance is not provided to projects previously funded by the Commission.

•Applicants have 30 days from receipt of application by OPWC without exception to provide additional documentation to make the application more competitive under the Small Government criteria. Applications will be scored after the 30-day period has expired. The applicants for each District's two (2) contingency projects will have the same 30-day period to submit supplemental information but these applications will not be scored unless necessary to do so. **It is each applicant's responsibility for determining the need for supplemental material. The applicant will not be asked for or notified of missing information unless the Commission has changed the project type and it affects the documentation required. Important information may include, but is not limited to: age of infrastructure, traffic counts or utility users, median income information, user rates ordinances, and the Auditor's Certificate of Estimated Revenues or documentation from the Auditor of State that subdivision is in a state of fiscal emergency.**

If you desire to have your Round 32 project considered for Small Government Funding please download the Small Government Evaluation Criteria applicable to Round 32 by accessing the OPWC Website at http://www.pwc.state.oh.us/Meth.SG.PDF. Please complete the Small Government Evaluation Criteria and attach all required supporting documentation and attach it to the District 5 Questionnaire for Round 32.

11. MANDATORY INFORMATION, DISTRICT 5, DISCRETIONARY RANKING POINTS

List all specific user fees: Amount or
ROAD & BRIDGE PROJECTS: (OHIO REVISED CODE) Percentage

Permissive license fee	4504.02 or 4504.06	100%
	4504.15 or 4504.17	100%
	4504.16 or 4504.171	100%
	4504.172	
	4504.18	
Special property taxes	5555.48	
	5555.49	

Municipal Income Tax _____

County Sales Tax _____

Others _____

_____

_____

(DO NOT INCLUDE SCHOOL TAXES)

SPECIFIC PROJECT AREA INFORMATION.

Median household income $52,526 (2014 dollars)

Monthly utility rate: Water _____

Sewer _____

Other _____

List any special user fees or assessment (be specific)

_____

_____

_____

POLITICAL SUBDIVISION= Henry

COUNTY= Henry

DISCRETIONARY POINTS (BY DISTRICT COMMITTEE ONLY)= _____

(25-20-15)

Date: August 22, 2017

Signature: Timothy J. Schumm

Title: Henry County Engineer

Address: 1823 Oakwood Avenue, Napoleon OH 43545

Phone: 419-592-2976

FAX: 419-592-5508

Email: tschumm@henrycountyengineer.com

Unit 2

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Rd 2

BETWEEN ROADS: Road L - Road 15

BY: Justin Nese

DATE: 8-7-17 - 8-8-17

TIME: 9:30 Am / 9:30 Am

WEEKDAY: Monday - Tuesday

UNIT COUNT: 560	DIVIDE BY: 2

TOTAL VEHICLES: 280

1.00 24 HR. FACTOR
ODOT (F.C. 09)

24 HR. ADT: 280

0.940 SEASONAL FACTOR
ODOT (F.C. 09)

24 HR. ADJ. ADT: 264

1.2 20 YR. ADJ. FACTOR
ODOT (F.C. 09)

20 YR. ADT: 315

Revised 4/08
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Unit 3

HENRY COUNTY ENGINEER'S OFFICE

TRAFFIC COUNT FOR: Road P1

BETWEEN ROADS: Road 16 - Road 17

BY: Justin Niese

DATE: 7-24-17 - 7-25-17

TIME: 9:00Am / 9:00Am

WEEKDAY: Monday - Tuesday

UNIT COUNT: 486	DIVIDE BY: 2

TOTAL VEHICLES: 243

1 24 HR. FACTOR

ODOT (F.C. 09)

24 HR. ADT: 243

1.047 SEASONAL FACTOR

ODOT (F.C. 09)

24 HR. ADJ. ADT: 254

1.2 20 YR. ADJ. FACTOR

ODOT (F.C. 09)

20 YR. ADT: 305

Revised 4/08

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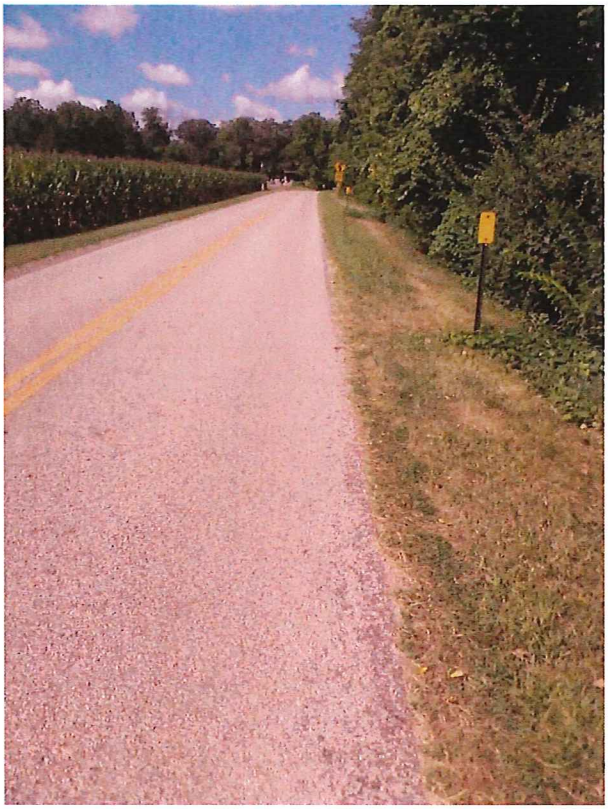

Road Z – Hazard markers at locations where bank erosion is encroaching roadway. Moving road away from banks of Maumee River.

Road P1 – Areas of cracking and various patches

Ohio Public Works Commission
Capital Improvement Report
Summary Form

Henry County	069-00069	Henry	8/24/2017
Subdivision	**Code**	**County**	**Date**

Infrastructure Component	Replacement Cost/Value	Repair Cost/Needs	Total Units	Units/Physical Condition					
				Excellent	Good	Fair	Poor	Critical	Unknown
Roads	$ 177,882,000	$ 17,788,000	Center Line Miles 406	148	114	95	48	1	
Bridges	$ 75,790,000	$ 7,579,000	Number of Bridges 295	104	127	55	5	4	
Culverts	$ 56,033,000	$ 5,603,000	Number of Culverts 1,593	228	706	593	42	24	
Water Supply Systems			Number of Facilities						
Water Distribution			Linear Feet (Thousands)						
Wastewater Systems			Number of Facilities						
Wastewater Collection			Linear Feet (Thousands)						
Stormwater Collection			Linear Feet (Thousands)						
Solid Waste Disposal			Capacity (Tons per Day)						
Totals	$ 309,705,000	$ 30,970,000							

Subdivision Socio-Economic Characteristics

Current		2010 Census Information			
Population	27,869	Population	28,215	% LMI	38.0%
Total Households	10,934	Total Households	11,963	% Poverty	10.6%
% Unemployment	5.1%	MHI	$46,136	% Unemploy	12.0%

Preparer's Name and Phone number Timothy J. Schumm, Henry County Engineer 419-592-2976

E-mail tschumm@henrycountyengineer.com

Ohio Public Works Commission

Five Year Capital Improvement Plan/Maintenance of Effort

Henry County
Subdivision

069-00069
Code

8/24/2017
Date

Project Name/Description	Funding Codes(s)	Status (A) Active (C) Complete (P) Pending	Total Cost	Two Year Effort		Five Year Plan				
				Yr	Yr	Yr	Yr	Yr	Yr	Yr
				Funded		*Planned*				
				2016	2017	2018	2019	2020	2021	2022
Road Improvements 2016	GTMV/OPWC	C	$853,424.00	$853,424.00						
Bridge/Culvert Replacements 2016	GTMV	C	$401,873.00	$401,873.00						
Road Improvements 2017	GTMV/OPWC	A	$723,900.00		$723,900.00					
Bridge/Culvert Replacements 2017	GTMV	A	$414,800.00		$414,800.00					
Road Improvements 2018	GTMV/OPWC	P	$900,000.00			$900,000.00				
Bridge/Culvert Replacements 2018	GTMV	P	$420,000.00			$420,000.00				
Road Improvements 2019	GTMV/OPWC	P	$940,000.00				$940,000.00			
Bridge/Culvert Replacements 2019	GTMV	P	$420,000.00				$420,000.00			
Bridge 17c-0.10 Painting	GTMV	P	$1,275,000.00				$1,275,000.00			
Road Improvements 2020	GTMV/OPWC	P	$960,000.00					$960,000.00		
Bridge/Culvert Replacements 2020	GTMV	P	$420,000.00					$420,000.00		
Road Improvements 2021	GTMV/OPWC	P	$1,020,000.00						$1,020,000.00	
Bridge/Culvert Replacements 2021	GTMV	P	$420,000.00						$420,000.00	
Road Improvements 2022	GTMV/OPWC	P	$1,050,000.00							$1,050,000.00
Bridge/Culvert Replacements 2022	GTMV	P	$420,000.00							$420,000.00